API NEC dPCT/PTO 28 APR 2006

PTO-1390

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY DOCKET NUMBER

7984-88126

see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

PCT/ZA2004/000131

INTERNATIONAL FILING DATE October 28, 2004

PRIORITY DATE CLAIMED October 29, 2003

TITLE OF INVENTION **ROCK BOLT**

APPLICANT(S) FOR DO/EO/US Stephen George DAWE

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. 0
- 3. □ This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. Please do not delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- The US has been elected (Article 31).
- A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - is attached hereto (required only if not communicated by the International Bureau).
 - has been communicated by the International Bureau.
 - is not required, as the application was filed in the United States Receiving Office (RO/US).
- An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - is attached hereto.
 - has been previously submitted under 35 U.S.C. 154(d)(4). b.
- Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - are attached hereto (required only if not communicated by the International Bureau).
 - have been communicated by the International Bureau. b.
 - have not been made; however, the time limit for making such amendments has NOT expired.
 - have not been made and will not be made.
- An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. 😐 An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 20. below concern document(s) or information included:

- An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 🗆
- A preliminary amendment. 13. ⊠
- An Application Data Sheet under 37 CFR 1.76. 14. ⊠
- 15. 😐 A substitute specification.
- A power of attorney and/or change of address letter. 16. □
- A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 17. <u>_</u>
- A second copy of the published international application under 35 U.S.C. 154(d)(4). 18. 😐
- A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. 🗆
- 20. ⊠ Other items or information: five sheets of drawing; return receipt postcard

For U.S. Examination purposes, please substitute amended pages 1 and 5 ("AMENDED SHEET" at bottom) for pages 1 and 5 as originally filed, and substitute amended claims 1-14 ("AMENDED SHEET at bottom) for claims 1-14 as originally filed.

| C.S. ALL CICATION IND. INDICATOR SELECT SELECTION IN CO. C. | | | | | | | NEY DOCKET NUMBER 184-88126 | |
|---|------|--------------|--------------|---|-----------------------------------|--------------------|--------------------------------|--------------|
| The following fees are submitted: | | | | | | Ī | Calculations | PTO use only |
| 21 <u>s</u> Basic national fee (37 CFR 1.492(a)) \$300.00 | | | | | | | \$300.00 | |
| 22. Examination fee (37 CFR 1.492(c)) If the Written Opinion prepared by ISA/US or the International Preliminary Examination Report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$ 0.00 | | | | | | | | |
| All other situations \$200.00 | | | | | | | \$200.00 | |
| 23. Search fee (37 CFR 1.492(b)) | | | | | | | | |
| If the Written Opinion of the ISA/US or the International Preliminary Examination Report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$ 0.00 Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an International Searching Authority \$100.00 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400.00 All other situations \$500.00 | | | | | | | \$400.00 | · |
| TOTAL OF 21, 22 and 23 = | | | | | | | \$900.00 | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 Total Sheets Extra Sheets or fraction thereof RATE | | | | | | h | | |
| Total Sheets | **** | (round up to | whole number | | | | | |
| 12 - 100 = | | 0.00 | 0 | × | \$250 | .00 | \$0.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | | | \$ | |
| CLAIMS NUMBER NUMBER EXTRA RATE | | | | | | ГЕ | | |
| Total claims | 6 | - 2 = | 0 | × | \$50. | 00 | \$0.00 | |
| Independent Claims | 1 | - 3 = | 0 | × | \$200 | | \$0.00 | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360.00 | | | | | | - \$ | ., | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | | \$900.00 | | |
| ☐ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by half. SUBTOTAL = | | | | | | \$0.00 \$900.00 | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months | | | | | | \$300.00 | | |
| from the earliest claimed priority date (37 CFR 1.492(i)). | | | | | | \$ | | |
| TOTAL NATIONAL FEE = | | | | | | | \$900.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. | | | | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | | | | \$900.00 | |
| | | | | | | | Amount to be refunded: | \$ |
| | | | | | | | Amount to be charged: | |
| | | | | | | | \$900.00 | \$ |
| a. A check in the amount of \$ to cover the above fees is enclosed. | | | | | | | | |
| b. Please charge my Deposit Account No. 06-1135 in the amount of \$ 900.00 to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1135. A duplicate copy of this sheet is enclosed. | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | | | | |
| FITCH, EVEN, TABIN & FLANNERY P.O. Box 65973 Washington, DC 20035 U.S.A. April 28, 2006 DATE | | | | | NAME 20,586 REGISTRATION NUMBER | | | |

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